Approved For Release 2005/11/28 : CIA-RDP86B00985R000200040012-4

NFAC #6137/81

29 September 1981

MEMORANDUM FOR: See Distribution

FROM : Coordinator for Academic Relations, NFAC

SUBJECT : Request for IC/FAR Research Project Information for 4th Quarter FY 1981

1. The quarterly report to the State Department, in compliance with Section VI.B. of the Policies and Procedures for Department of State Review and Coordination of Foreign Affairs Research, is due by 15 October 1981.

2. Please send to me by 9 October completed research project forms for relevant external research contracts initiated by your office or directorate in the 4th quarter of Fiscal Year 1981 or initiated in prior quarters but not yet reported. Representatives of NFAC Offices being abolished are, of course, responsible for transmitting what presumably will be final quarterly reports from those offices. Negative reports may be made by calling me on extension 4449.

3. I am again attaching the present "Working Definition" of Foreign Affairs External Research which describes and illustrates what research should and what research should not be included in your report. As before, unclassified research project information should be submitted on the Smithsonian Science Information Exchange form while classified research project information must be submitted on the Foreign Affairs Research Summary form (both forms attached and may be reproduced if necessary).

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Attachments:
As stated

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NFAC #6137/81

SUBJECT: Request for IC/FAR Research Project Information for
4th Quarter FY 1981

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Revised June 1980

INTERAGENCY COMMITTEE ON FOREIGN AFFAIRS RESEARCH (IC/FAR)

FOREIGN AFFAIRS EXTERNAL RESEARCH

Working Definition

The IC/FAR is interested in U.S. Government foreign affairs external research activities. What follows defines key terms and suggests what is to be included and what excluded by participating agencies.

External research activities to be reported* in accordance with section VI. B. of the *Policies and Procedures for Department of State Review and Coordination of Government-Sponsored Research on Foreign Affairs* is defined below in terms of (1) subject matter, (2) research approaches and methods, (3) type of activity, and (4) cost.

1. Subject Matter

From a U.S. perspective, foreign affairs includes a wide range of political, social, cultural, psychological, military, economic, scientific, technological, and other subject areas. Furthermore, U.S. domestic affairs are increasingly linked with matters foreign or international. A foreign affairs research activity, therefore, is one that focuses, in part or entirely, on recent, contemporary, or future:

- Attributes, ideas, or behavior of non-U.S. individuals, groups, societies, or nations, to include studies of discrete areas or peoples, multinational and crossnational studies, and also historical studies with application to the contemporary scene; or on
- Relations between and among nations, including the United States; or relations between and among individuals or groups located in different nations, including the United States; or on
- Ways in which U.S. individuals, groups, ideas, attributes, or affairs are influenced by or influence: affairs in other nations, relations between or among nations, the position or behavior of the United States in international affairs.

Exclude:

a. All natural (e.g., astronomical, biological, etc.) or technological (e.g., nuclear fusion) studies in which a cultural, economic, political, management or other social dimension, cause, or setting is not also under investigation.

b. All studies of U.S. affairs in which a foreign or international dimension, cause, setting, or ramification is not also under investigation.

2. Research Approaches and Methods

Foreign affairs research uses the approaches and methods of one or more of the basic or applied social, behavioral, or policy sciences, and/or the humanities.

e.g., Include	e.g., Exclude
a. All types of research (i.e., pure, exploratory, basic, applied, developmental, evaluative, policy, etc.) when foreign affairs is the subject matter.	a. Evaluation studies of an agency program that are intended only for use by the sponsoring agency.
b. Methodological studies which use foreign affairs data, concepts, or theory to test or develop methods or techniques.	
c. Studies of the U.S. policymaking process when the policy area includes a foreign or international dimension.	

3. Type of Activity

External research encompasses all research programs, projects, or other activities sponsored by the U.S. Government but performed by non-Government employees (in the United States or overseas).

e.g., Include	e.g., Exclude
a. Direct contracts and grants.	a. In-house research performed solely by U.S. Government employees (including temporary or intermittent—but not contract—experts or consultants) whether in the sponsoring or recipient agency.
b. Interagency transfers and intergovernmental loans used to make contract or grant awards to nongovernmental research performers.	b. Programs in which the research is incidental to accomplishment of an educational, training, or cultural exchange or similar objective, such as grants awarded under the Fulbright-Hays program and the National Defense Education Act.
c. Arrangements for the external preparation of data, analyses, studies, reports, conferences, symposia, or data and documentation collections, services, and systems that support researchers.	c. Activities of agency personnel involved in managing or administering external research activities.
	d. Research supported solely by private institutions; state, local, or foreign government; or units of the U.S. Government's legislative and judicial branches.

4. Cost

The total amount obligated for a project by a sponsoring agency (or agencies if jointly funded).

e.g., Include	e.g., Exclude
a. All foreign affairs research activities costing $1,000 or more.	a. Activities costing less than $1,000 unless the reporting agency believes it important to share information with other agencies.

*In cases of collaborative programs and projects involving two or more agencies, the agency which actually signs contract or grant agreements should assume the reporting responsibility.

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SMITHSONIAN SCIENCE INFORMATION EXCHANGE

Room 300 • 1730 M Street, N.W. • Washington, D.C. • 20036
Telephone (202) 381-4211 • Telex 89495

SSIE NUMBER

NOTICE OF RESEARCH PROJECT

SUPPORTING ORGANIZATION/OFFICE:*

SUPPORTING ORGANIZATION NUMBER(S):
Contract No:
and/or
Control No:

PROJECT TITLE:

INVESTIGATOR(S):

DEPARTMENT/SPECIALTY, School or Division

PERFORMING ORGANIZATION:
Name and
Address:
Including
Zip Code.

PERIOD FOR THIS NRP:
Start Date:
End Date:
Annual Funding: FY _____ $ _____
Total Cost:

PROJECT SUMMARY:
Be brief-200 word maximum: (Include Objective, Approach, Current Plans and/or Progress, Countries/Regions involved)

ACTION OFFICER:
TELEPHONE: Area Code: No.

*Other Government Support:

Approved For Release 2005/11/28 : CIA-RDP86B00985R000200040012-4

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Interagency Report Control
No. 1556-DOS-AN

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Security Classification*

FOREIGN AFFAIRS RESEARCH SUMMARY

INFORMATION ON GOVERNMENT-SUPPORTED RESEARCH RELATED TO FOREIGN AFFAIRS, COMPILED FOR THE C/FAR BY THE OFFICE OF EXTERNAL RESEARCH DEPARTMENT OF STATE.

1. PROJECT'S SECURITY CLASSIFICATION

2. PROJECT TITLE

3. DATE INITIATED

4. COMPLETION DATE

5. FUNDING AGENCY/SUBORDINATE UNIT

6. CONTRACT/GRANT NO.

7. OTHER GOVT. SUPPORT

8. AGENCY ACTION OFFICER/PROJECT MONITOR

9. TOTAL COST

10. OBLIGATIONS *(Amount committed for current and next fiscal year.)*

11. NAME AND COMPLETE MAILING ADDRESS OF *(check one)*

12. PRINCIPAL INVESTIGATOR

CONTRACT OR GRANT AWARDED TO: ☐ INDIVIDUAL ☐ INSTITUTION *(check one)*

13. SCOPE *(Statement of research problem, including approach, subject matter, fields or disciplines to which project is importantly related and purpose funding agency expects project to serve. Do not repeat title of project. Specific countries and/or regions included in research should be noted.*

*If form is classified, it must be signed by authorized person. Indicate here date of declassification or exemption from declassification in accordance with E.O. 12065

INFORMATION ON UNCLASSIFIED PROJECTS MAY BE MADE AVAILABLE TO THE PUBLIC

Approved For Release 2005/11/28 : CIA-RDP86B00985R000200040012-4

Form DS-1728
Revised 11/78

Security Classification*

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DEPARTMENT OF STATE

Washington, D.C. 20520

September 23, 1981

MEMORANDUM FOR: Representatives to the Interagency Committee on Foreign Affairs Research (IC/FAR)

SUBJECT: Request for IC/FAR Research Project Information for 4th Quarter FY 1981

To comply with section VI. B. of the Policies and Procedures for Department of State Review and Coordination of Foreign Affairs Research dated March 31, 1980 (revised), please have the attached sheet completed and returned to this office by October 15, 1981.

Attached is a copy of the "Working Definition" of Foreign Affairs Research to assist you in determining which of your agency's projects should be reported. Also attached are samples of the forms to be used in submitting project information.

If you have any questions, I can be reached on (202) 632-2759.

Barbara W. Morlet

(Mrs.) Barbara W. Morlet
Special Assistant (Research Review and Interagency Coordination)
Office of Long-Range Assessments and Research

Attachments:
1. IC/FAR Research Project Information, 4th Quarter FY 1981
2. Working Definition of Foreign Affairs Research (Revised 6/80)
3. Sample Reporting Form(s)

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